

String Theory and Integrable Lattice Models

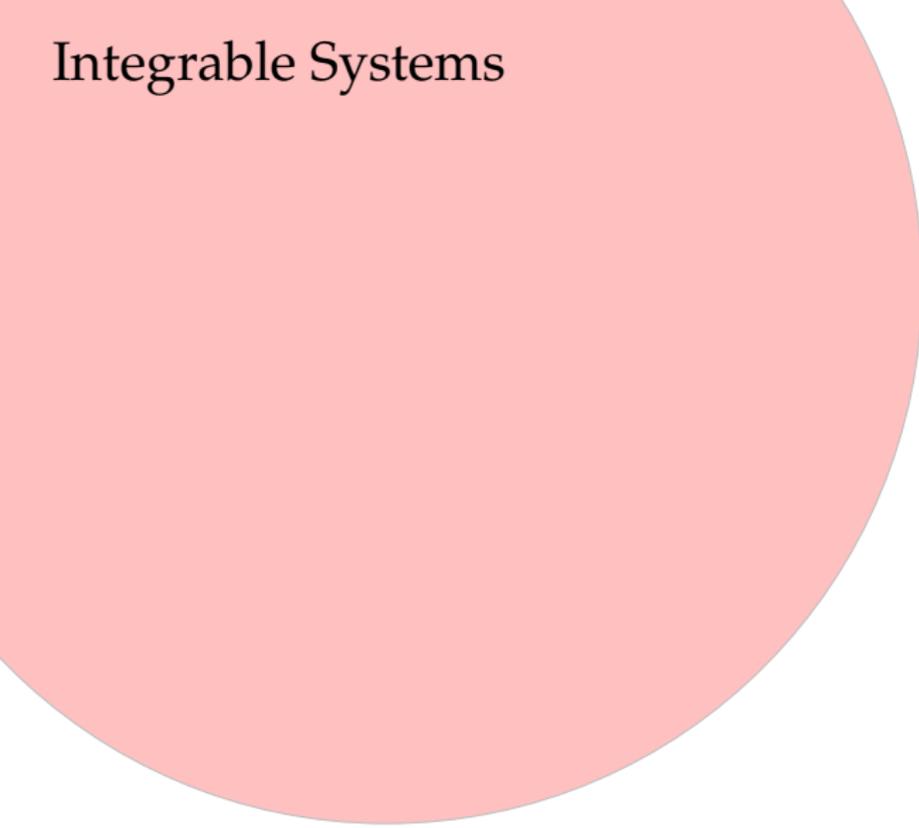
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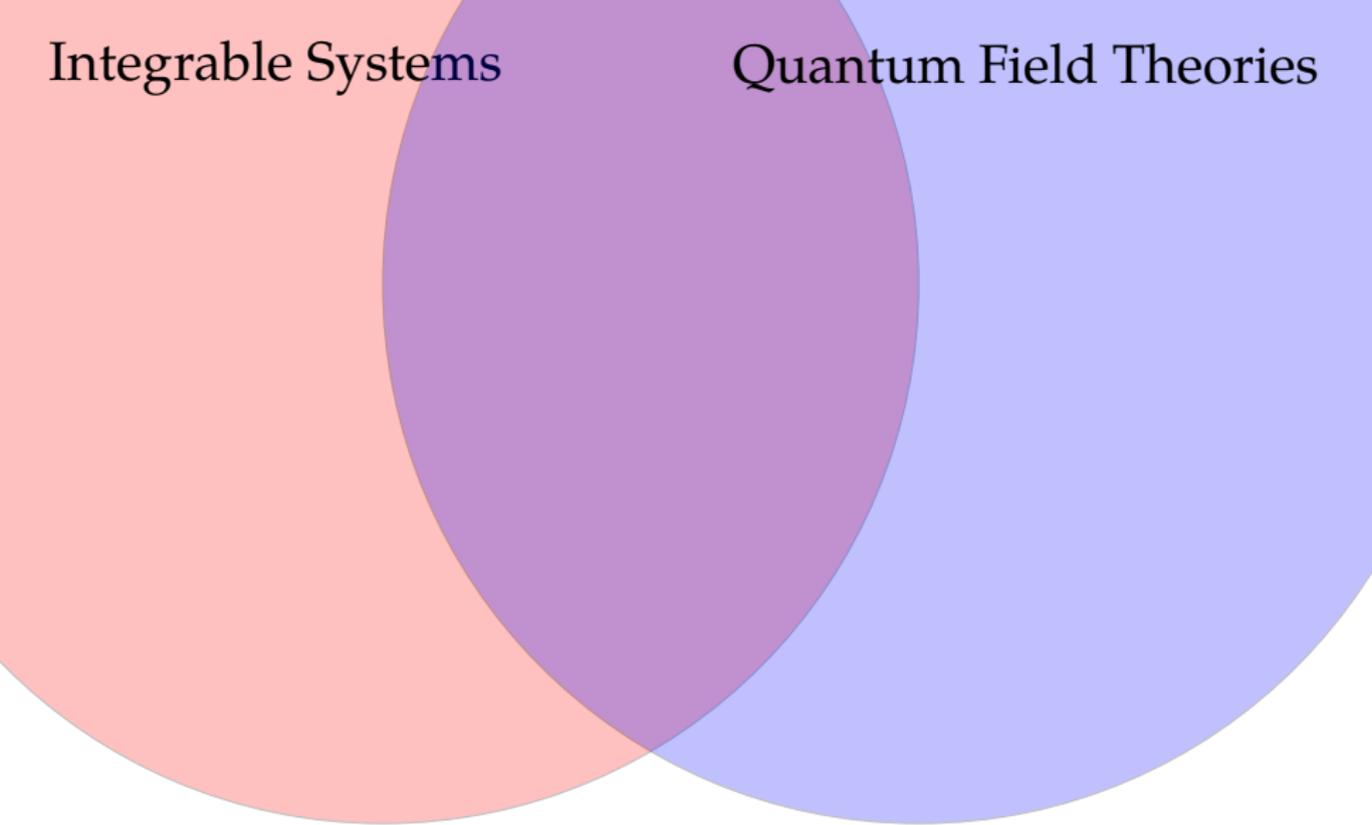
Elliptic Hypergeometric Functions in Combinatorics, Integrable Systems and
Physics, Erwin Schrödinger Institute, Vienna

Integrable Systems

A large, light red circle is positioned on the left side of the page, partially cut off by the edge. The text "Integrable Systems" is located in the upper-left corner of the circle.

Integrable Systems

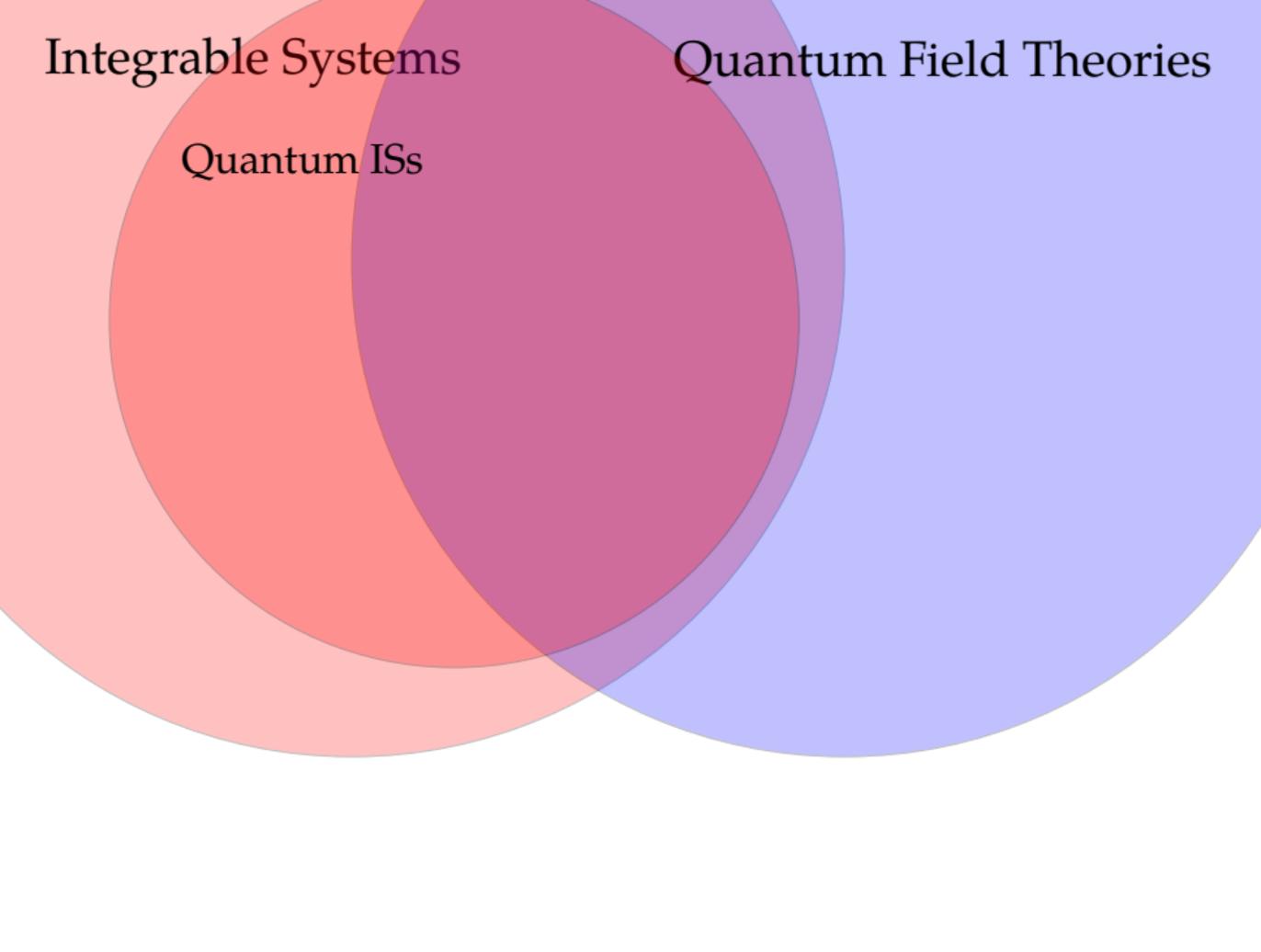
Quantum Field Theories



Integrable Systems

Quantum Field Theories

Quantum ISs

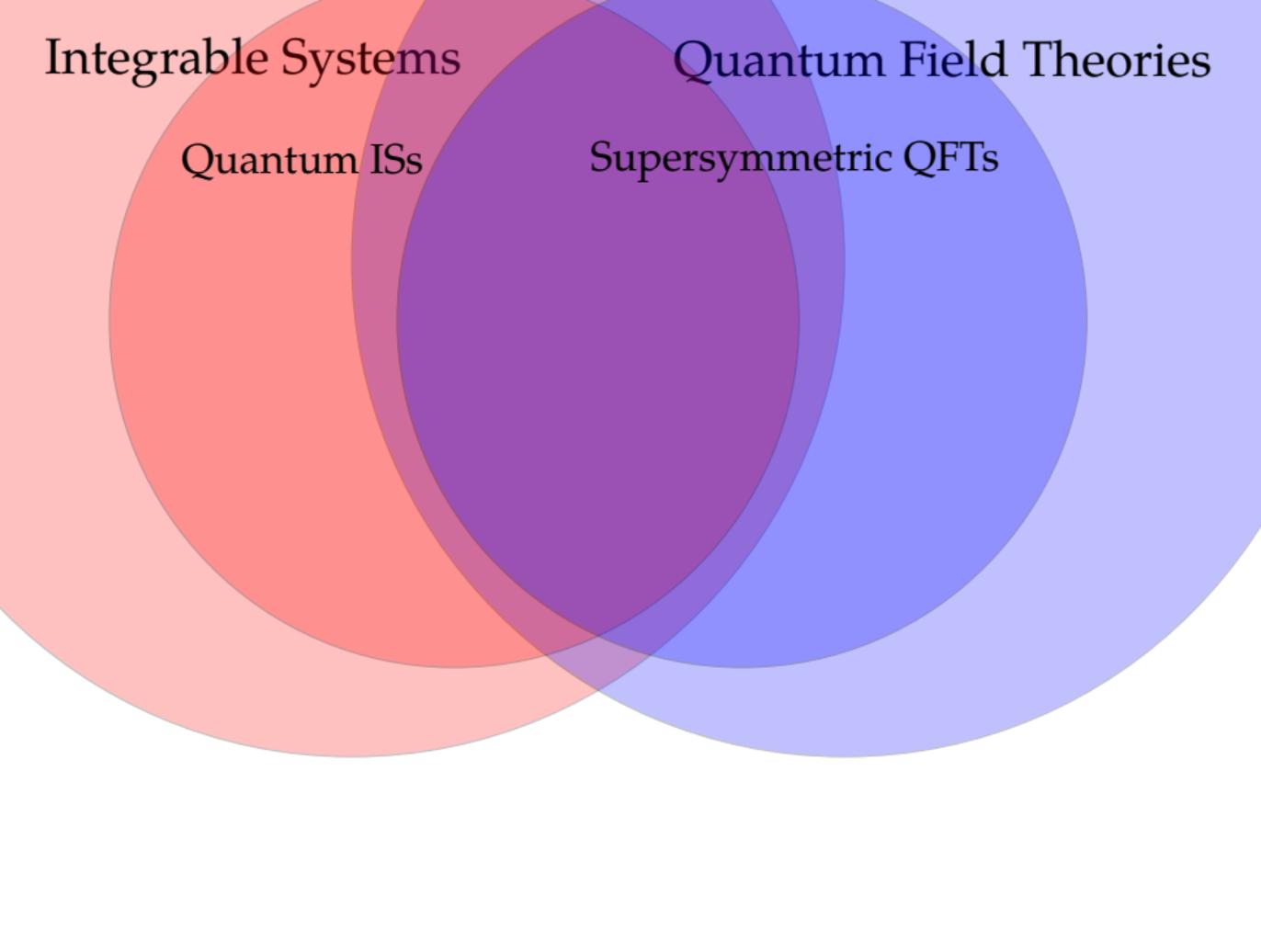
A Venn diagram consisting of two overlapping circles. The left circle is light red and labeled 'Integrable Systems'. The right circle is light blue and labeled 'Quantum Field Theories'. The overlapping region in the center is a darker shade of purple and is labeled 'Quantum ISs'.

Integrable Systems

Quantum Field Theories

Quantum ISs

Supersymmetric QFTs



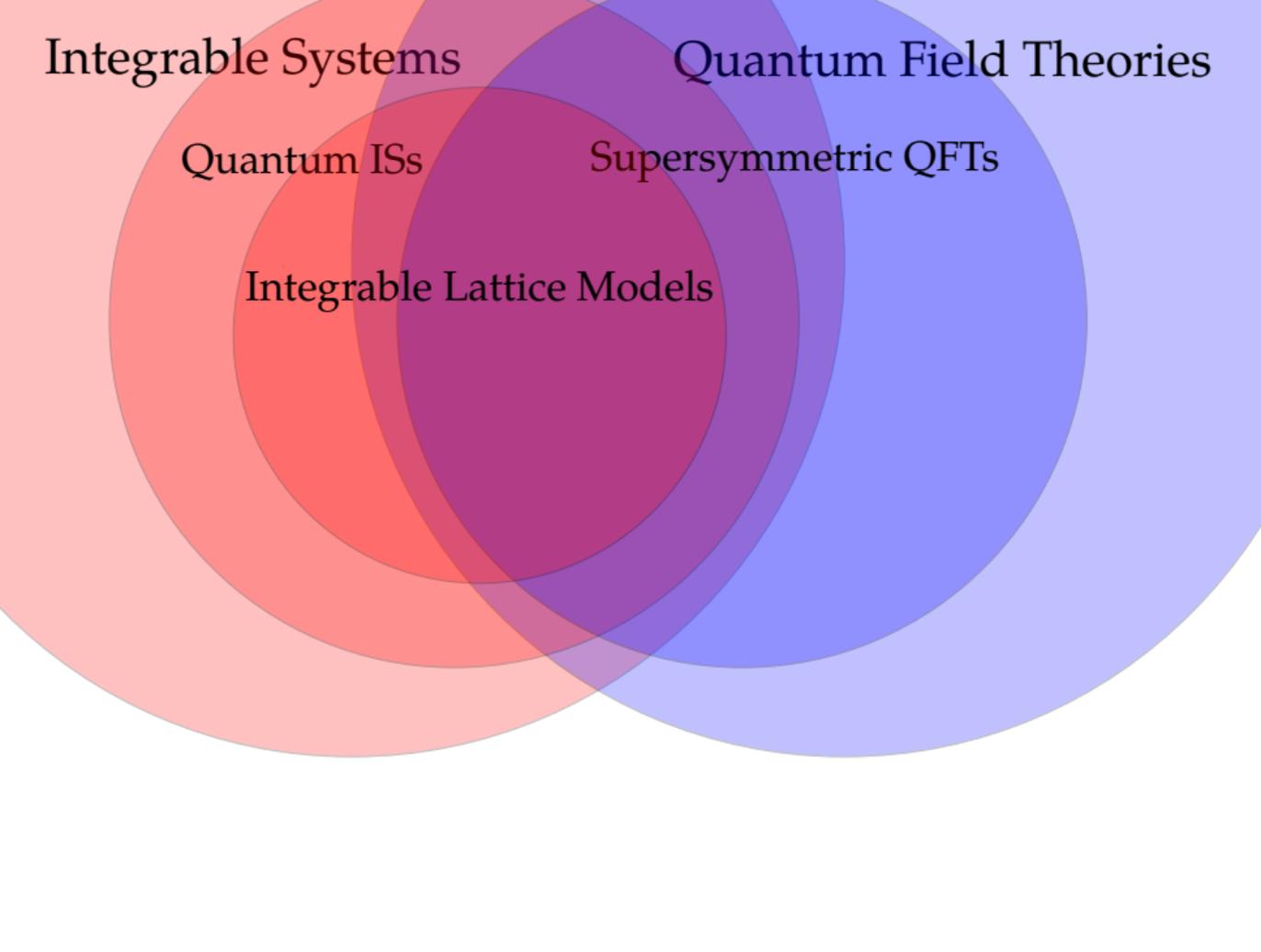
Integrable Systems

Quantum Field Theories

Quantum ISs

Supersymmetric QFTs

Integrable Lattice Models



Integrable Systems

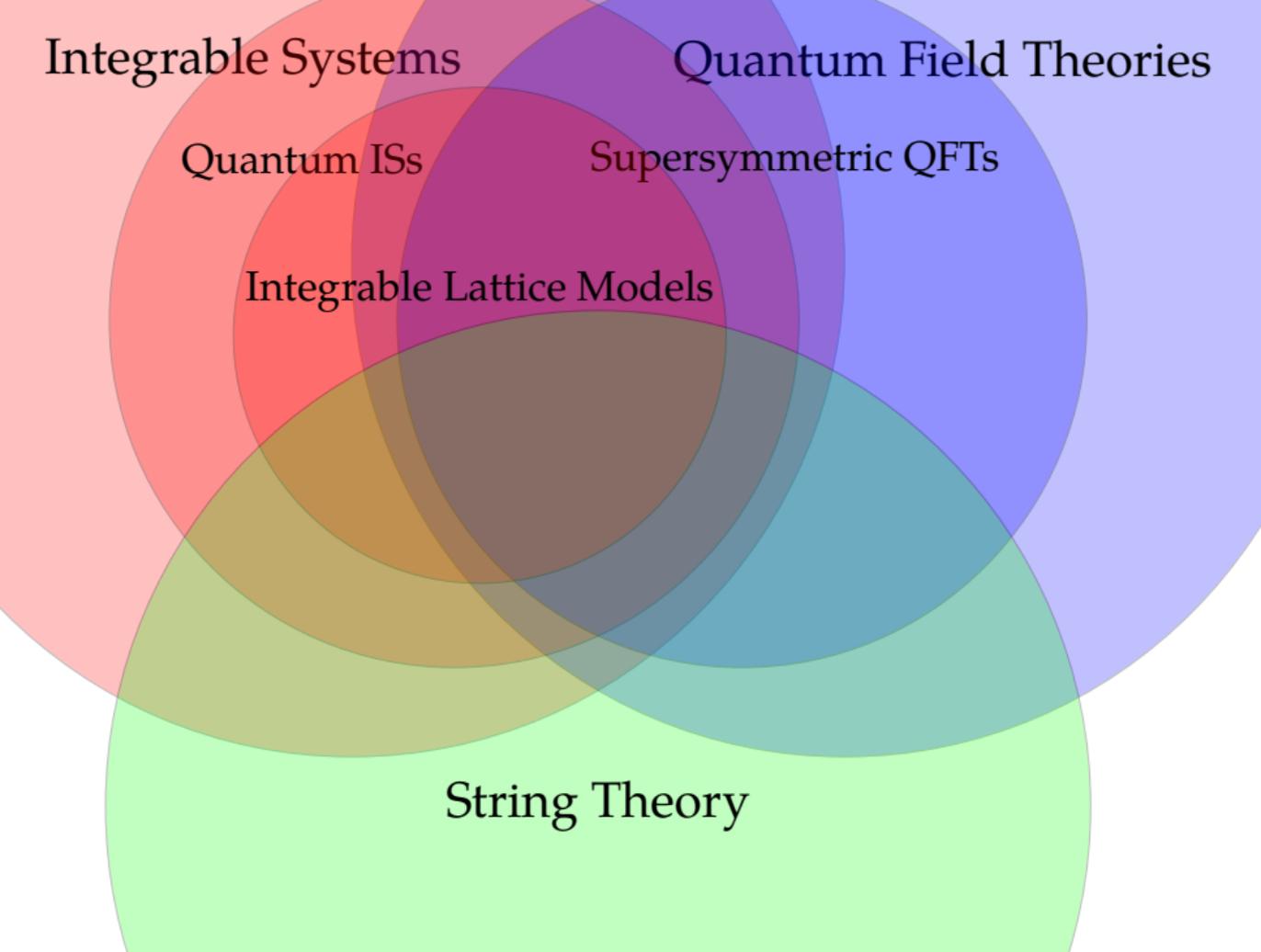
Quantum Field Theories

Quantum ISs

Supersymmetric QFTs

Integrable Lattice Models

String Theory



Integrable Systems

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Integrable Lattice Models

This talk

String Theory

Integrable lattice models can be embedded in String Theory.

What does this buy us?

A lot!

Today:

- ▶ Construct an integrable lattice model that unifies
 - ▶ Belavin model
 - ▶ Jimbo–Miwa–Okado model group
 - ▶ Bazhanov–Sergeev model
- ▶ Relate it to 4d SUSY QFTs.

Fix

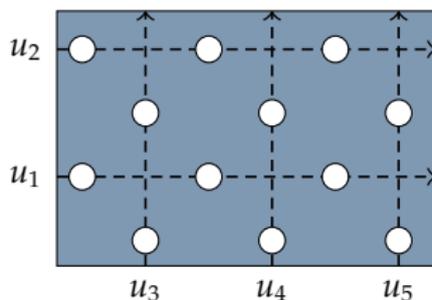
- ▶ $N \in \mathbb{N}, N \geq 2$
- ▶ $\tau, \gamma \in \mathbb{C}, \operatorname{Im} \tau, \operatorname{Im} \gamma > 0$

Let

- ▶ $V = \mathbb{C}^N$
- ▶ $\mathbb{V} = \{\text{meromorphic symmetric functions of } (z_1, \dots, z_N)\}$

BELAVIN MODEL [BELAVIN '81]

Belavin model is a lattice model in Statistical Mechanics:



It's a **vertex model**: spins \circ live on edges, interact at vertices.

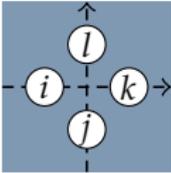
Spin variables $i, j, k, l, \dots \in \{1, \dots, N\}$.

Each line carries a **spectral parameter** $u \in \mathbb{C}$.

Lattice can be drawn on any surface Σ ; in this talk $\Sigma = T^2$.

Interaction is governed by the **R-matrix** $R^B(u) \in \text{End}(V \otimes V)$.

Local Boltzmann weight:


$$u_1 \begin{array}{c} \uparrow \\ \textcircled{l} \\ \textcircled{i} - \textcircled{k} \rightarrow \\ \textcircled{j} \\ \downarrow \\ u_2 \end{array} = R^B(u_{12})_{ij}^{kl}, \quad u_{12} = u_1 - u_2.$$

Partition function

$$Z = \sum_{\text{spin configs}} \prod_{\text{vertices}} \text{local Boltzmann weight}.$$

Explicitly,

$$R^B(u)_{ij}^{kl} = \delta_{i+j,k+l} \frac{\theta_1(\gamma)}{\theta_1(u+\gamma)} \frac{\theta^{(k-l)}(u+\gamma)}{\theta^{(k-i)}(\gamma)\theta^{(i-l)}(u)} \frac{\prod_{m=0}^{N-1} \theta^{(m)}(u)}{\prod_{n=1}^{N-1} \theta^{(n)}(0)},$$

where i, j, k, l are treated mod N and

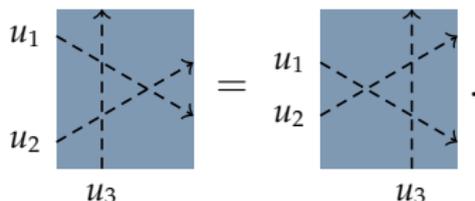
$$\theta \begin{bmatrix} a \\ b \end{bmatrix} (u|\tau) = \sum_{n=-\infty}^{\infty} e^{\pi i(n+a)^2\tau + 2\pi i(n+a)(u+b)},$$
$$\theta^{(j)}(u) = \theta \begin{bmatrix} 1/2 - j/N \\ 1/2 \end{bmatrix} (u|N\tau),$$
$$\theta_1(u) = -\theta \begin{bmatrix} 1/2 \\ 1/2 \end{bmatrix} (u|\tau).$$

When $N = 2$, we get the **8-vertex model**.

R^B satisfies the **Yang–Baxter equation**

$$R_{12}^B(u_{12})R_{13}^B(u_{13})R_{23}^B(u_{23}) = R_{23}^B(u_{23})R_{13}^B(u_{13})R_{12}^B(u_{12}).$$

Graphically,



It follows the model is **integrable**.

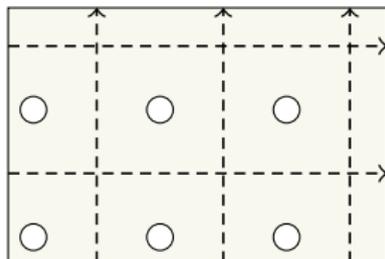
This is quantum integrability:

2d lattice model \leftrightarrow 1d quantum spin chain .

Belavin model \leftrightarrow \mathfrak{sl}_N generalization of XYZ spin chain.

JIMBO-MIWA-OKADO MODEL [JMO '87]

JMO model is an IRF model, i.e. spins live on faces:



Spin variables $\lambda \in \mathfrak{h} \subset \mathfrak{sl}_N$: $\lambda = (\lambda_1, \dots, \lambda_N)$, $\sum_{i=1}^N \lambda_i = 0$.

Allowed configs: to each edge we can assign $i \in \{1, \dots, N\}$ s.t.

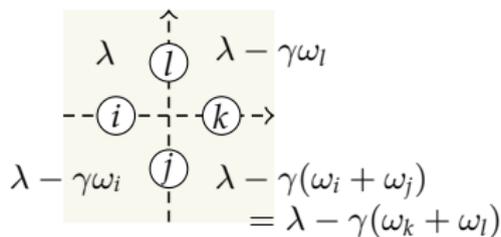
$$i \overset{\lambda}{\dashrightarrow} \mu, \quad \mu = \lambda - \gamma w_i.$$

$w_i = e_i - \frac{1}{N} \sum_{j=1}^N e_j$ is the weight of $e_i \in V = \mathbb{C}^N$, $(e_i)_j = \delta_{ij}$.

Alternatively, think of i, j, \dots as spin variables living on edges.

We get a vertex model with a **dynamical variable** λ .

Specify λ on one face. Spin config determines it on the rest:



This vertex model is described by **Felder's R-matrix** $R^F(u, \lambda)$:

$$(R^F)_{ii}^{ii} = 1, \quad (R^F)_{ij}^{ij} = \frac{\theta_1(u)\theta_1(\lambda_{ij} + \gamma)}{\theta_1(u + \gamma)\theta_1(\lambda_{ij})}, \quad (R^F)_{ij}^{ji} = \frac{\theta_1(\gamma)\theta_1(u + \lambda_{ij})}{\theta_1(u + \gamma)\theta_1(\lambda_{ij})}$$

[Felder '94, Felder-Varchenko '97].

For $N = 2$, we get the 8VSOS model [Baxter '73].

Graphically represent R^F as

$$R^F(u_{12}, \lambda) = u_1 \begin{array}{c} \uparrow \lambda \\ \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \\ \downarrow \\ u_2 \end{array} .$$

This time, we have the **dynamical YBE**:

$$\begin{array}{c} u_1 \\ \diagdown \quad \diagup \\ \text{---} \text{---} \text{---} \\ \diagup \quad \diagdown \\ u_2 \\ \text{---} \text{---} \text{---} \\ \uparrow \lambda \\ u_3 \end{array} = \begin{array}{c} u_1 \\ \diagup \quad \diagdown \\ \text{---} \text{---} \text{---} \\ \diagdown \quad \diagup \\ u_2 \\ \text{---} \text{---} \text{---} \\ \uparrow \lambda \\ u_3 \end{array}$$

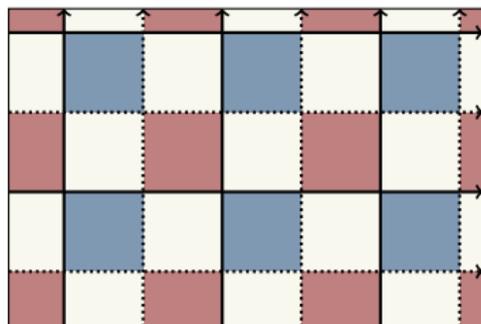
or

$$\begin{aligned} R_{12}^F(u_{12}, \lambda - \gamma h_3) R_{13}^F(u_{13}, \lambda) R_{23}^F(u_{23}, \lambda - \gamma h_1) \\ = R_{23}^F(u_{23}, \lambda) R_{13}^F(u_{13}, \lambda - \gamma h_2) R_{12}^F(u_{12}, \lambda) . \end{aligned}$$

h_a : the weight of the state on the a th line.

BAZHANOV–SERGEEV MODEL [BS '10, '11]

BS model is defined on a tricolor checkerboard lattice:



Lines carry multiplicative spectral parameters a, b, \dots

Spin variables: multiplicative dynamical variables z, w, \dots on uncolored faces. They are all independent.

Boltzmann weights involve the elliptic gamma function

$$\Gamma(z) = \prod_{m,n=0}^{\infty} \frac{1 - p^{m+1}q^{n+1}/z}{1 - p^m q^n z}; \quad p = e^{2\pi i\tau}, \quad q = e^{2\pi i\gamma}.$$

We assign Boltzmann weights

$$\begin{array}{cc}
 \begin{array}{c} \text{Diagram 1: Square with vertices } a_1 \text{ (bottom-left), } a_2 \text{ (bottom-right), } z \text{ (center), } w \text{ (top). Diagonal } a_1 a_2 \text{ is red, } a_1 z \text{ is blue, } z a_2 \text{ is yellow.} \\ a_1 \quad a_2 \end{array} & = M\left(\frac{a_2}{a_1}; z, w\right), & \begin{array}{c} \text{Diagram 2: Square with vertices } b_1 \text{ (bottom-left), } b_2 \text{ (bottom-right), } z \text{ (center), } w \text{ (top). Diagonal } b_1 b_2 \text{ is blue, } b_1 z \text{ is yellow, } z b_2 \text{ is red.} \\ b_1 \quad b_2 \end{array} & = M\left(\frac{b_2}{b_1}; w, z\right) \\
 \\
 \begin{array}{c} \text{Diagram 3: Square with vertices } a \text{ (bottom-left), } b \text{ (bottom-right), } z \text{ (center), } w \text{ (top). Diagonal } a b \text{ is red, } a z \text{ is blue, } z b \text{ is yellow.} \\ a \quad b \end{array} & = D\left(\frac{b}{a}; z, w\right), & \begin{array}{c} \text{Diagram 4: Square with vertices } b \text{ (bottom-left), } a \text{ (bottom-right), } z \text{ (center), } w \text{ (top). Diagonal } b a \text{ is blue, } b z \text{ is yellow, } z a \text{ is red.} \\ b \quad a \end{array} & = D\left(\frac{a}{b}; w, z\right),
 \end{array}$$

where

$$M(a; z, w) = \prod_{i,j} \Gamma\left(a \frac{w_i}{z_j}\right) / \Gamma(a^N), \quad D(a; z, w) = \prod_{i,j} \Gamma\left(\sqrt{pq} \frac{1}{a} \frac{w_i}{z_j}\right).$$

Each spin z is integrated over $\mathbb{T}^{N-1} \subset \text{SU}(N)$ with measure

$$\frac{(p; p)_\infty^{N-1} (q; q)_\infty^{N-1}}{N!} \prod_{k=1}^{N-1} \frac{dz_k}{2\pi i z_k} \prod_{i \neq j} \frac{1}{\Gamma(z_i/z_j)}; \quad (p; p)_\infty = \prod_{k=1}^{\infty} (1-p^k).$$

$M(a; z, w)$ defines the elliptic Fourier transform on $f(z_1, \dots, z_N)$

[Spiridonov '03, Spiridonov–Warnaar '05].

We can reformulate the BS model as a vertex model.

Introduce double line notation $(a, b) \implies = \begin{matrix} \text{---} & \text{---} \\ \text{---} & \text{---} \end{matrix} \cdot$

BS R-operator $R^{\text{BS}}((a_1, b_1), (a_2, b_2)) \in \text{End}(\mathbb{V} \otimes \mathbb{V})$ is given by

$$R^{\text{BS}}((a_1, b_1), (a_2, b_2)) = \begin{matrix} \uparrow \\ \text{---} \\ \text{---} \\ \downarrow \end{matrix} \begin{matrix} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{matrix} = \begin{matrix} \uparrow \\ \text{---} \\ \text{---} \\ \downarrow \end{matrix} \begin{matrix} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{matrix} \cdot$$

(a_1, b_1)
 (a_2, b_2)

Lattice made from R^{BS} gives a tricolor checkerboard pattern.

R^{BS} is an ∞ -dim R-matrix; it's an integral operator

[Derkachov–Spiridonov '12, Maruyoshi–Y '16].

YBE follows from an integral identity for Γ [Spiridonov '03, Rains '10].

SUMMARY OF THE THREE MODELS

Belavin:

$$R^B(u_{12}) = u_1 \begin{array}{c} \uparrow \\ \text{---} \\ \downarrow \\ \text{---} \\ u_2 \end{array} \in \text{End}(V \otimes V), \quad \tilde{R}^B = u_1 \begin{array}{c} \uparrow \\ \text{---} \\ \downarrow \\ \text{---} \\ u_2 \end{array} = (R^B)^T$$

Jimbo–Miwa–Okado/Felder:

$$R^F(u_{12}, \lambda) = u_1 \begin{array}{c} \uparrow \\ \lambda \\ \text{---} \\ \downarrow \\ u_2 \end{array} \in \text{End}(V \otimes V)$$

Bazhanov–Sergeev:

$$R^{BS}((a_1, b_1), (a_2, b_2)) = (a_1, b_1) \begin{array}{c} \uparrow \\ \text{---} \\ \downarrow \\ \text{---} \\ (a_2, b_2) \end{array} = \begin{array}{c} \uparrow \\ \text{---} \\ \downarrow \\ \text{---} \\ b_2^{a_2} \end{array} \in \text{End}(V \otimes V)$$

String Theory tells me the three models can be **unified** [Y '17].

If different kinds of lines can coexist, we have more crossings:

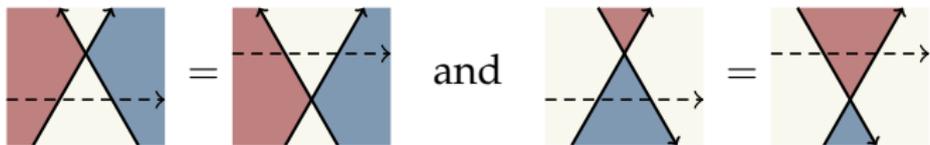
$$\begin{array}{c}
 \begin{array}{|c|} \hline \text{yellow} \\ \hline \end{array} \begin{array}{|c|} \hline \text{blue} \\ \hline \end{array} \\
 \begin{array}{c} \xrightarrow{z} \\ \text{---} \\ \xrightarrow{z} \end{array} \\
 a
 \end{array} = S\left(\frac{c}{a}; z\right), \quad
 \begin{array}{c}
 \begin{array}{|c|} \hline \text{blue} \\ \hline \end{array} \begin{array}{|c|} \hline \text{yellow} \\ \hline \end{array} \\
 \begin{array}{c} \xrightarrow{z} \\ \text{---} \\ \xrightarrow{z} \end{array} \\
 b
 \end{array} = S'\left(\frac{c}{b}; z\right),$$

$$\begin{array}{c}
 \begin{array}{|c|} \hline \text{yellow} \\ \hline \end{array} \begin{array}{|c|} \hline \text{red} \\ \hline \end{array} \\
 \begin{array}{c} \xrightarrow{z} \\ \text{---} \\ \xrightarrow{z} \end{array} \\
 b
 \end{array} = \tilde{S}\left(\frac{c}{b}; z\right), \quad
 \begin{array}{c}
 \begin{array}{|c|} \hline \text{red} \\ \hline \end{array} \begin{array}{|c|} \hline \text{yellow} \\ \hline \end{array} \\
 \begin{array}{c} \xrightarrow{z} \\ \text{---} \\ \xrightarrow{z} \end{array} \\
 a
 \end{array} = \tilde{S}'\left(\frac{c}{a}; z\right).$$

These **intertwining operators** are matrix-valued functions.

They must solve many Yang–Baxter equations!

Yang–Baxter equations with one dashed line such as



allow us to determine the intertwining operators:

$$S(a; z) = a^{-N/2} \Psi(u, \lambda), \quad \tilde{S}'(a; z) = a^{-N/2} Z^{N/2} \Phi(u, \lambda)^T,$$

$$S'(a; z) = S(\check{a}; z)^{-1}, \quad \tilde{S}(a; z) = (-1)^{N-1} \tilde{S}'(\check{a}; z)^{-1} Z^N,$$

with $a = e^{2\pi i u/N}$, $z_j = e^{2\pi i \lambda_j}$, $\check{a} = q^{-1/N} \sqrt{p q a}$, and

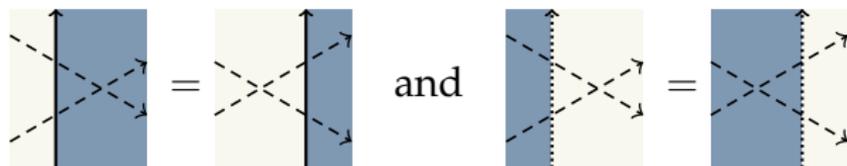
$$Z = \text{diag}(z_1, \dots, z_N),$$

$$\Phi(u, \lambda)_i^j = \theta^{(j)} \left(u - N\lambda_i + \frac{N-1}{2} \right),$$

$$\Psi(u, \lambda)_i^j = \Phi(u, -\lambda)_i^j / \prod_{k(\neq i)} \theta_1(\lambda_{ki}).$$

Similar analysis in [Sergeev '92, Quano–Fujii '93, Derkachov–Spiridonov]

Yang–Baxter equations with two dashed lines such as



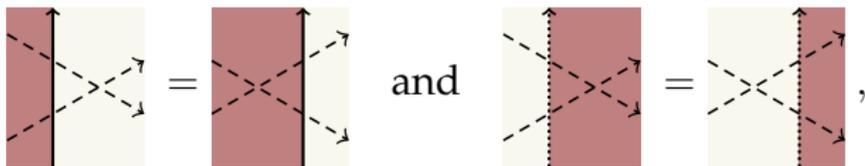
or

$$R^B S_1 S_2 = S_2 S_1 R^F \quad \text{and} \quad R^F S'_1 S'_2 = S'_2 S'_1 R^B$$

describe the **vertex–face correspondence** [Baxter '73, JMO].

They relate R^B and R^F , vertex and IRF models.

Those in different colors,



also hold.

We can also construct an **L-operator**

$$L^B = \begin{array}{|c|} \hline \uparrow \\ \hline \text{---} \rightarrow \\ \hline \end{array} = \begin{array}{|c|} \hline \uparrow \\ \hline \text{---} \rightarrow \\ \hline \end{array} \in \text{End}(V \otimes V).$$

It's a matrix of difference operators [Hasegawa '90, Sergeev, Quano–Fujii].

It satisfies two **RLL relations**, one with R^B and another with R^{BS} :

$$\begin{array}{|c|} \hline \uparrow \\ \hline \text{---} \rightarrow \\ \hline \end{array} \begin{array}{|c|} \hline \nearrow \\ \hline \end{array} = \begin{array}{|c|} \hline \nearrow \\ \hline \end{array} \begin{array}{|c|} \hline \uparrow \\ \hline \text{---} \rightarrow \\ \hline \end{array} \quad \text{and} \quad \begin{array}{|c|} \hline \uparrow \\ \hline \text{---} \rightarrow \\ \hline \end{array} \begin{array}{|c|} \hline \searrow \\ \hline \end{array} = \begin{array}{|c|} \hline \searrow \\ \hline \end{array} \begin{array}{|c|} \hline \uparrow \\ \hline \text{---} \rightarrow \\ \hline \end{array}$$

or

$$L_1^B L_2^B R^{BS} = R^{BS} L_2^B L_1^B \quad \text{and} \quad R^B L_1^B L_2^B = L_2^B L_1^B R^B.$$

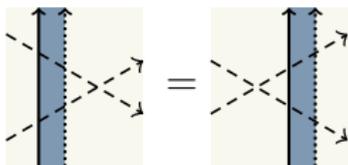
This is the elliptic lift of the **chiral Potts/six-vertex relation**

[Bazhanov–Stroganov '90, Bazhanov–Kashaev–Mangazeev–Stroganov '91].

Another L-operator

$$L^F = \begin{array}{c} \uparrow \\ \text{---} \text{---} \text{---} \rightarrow \\ \text{---} \end{array}$$

satisfies an RLL relation with Felder's R-matrix:



or

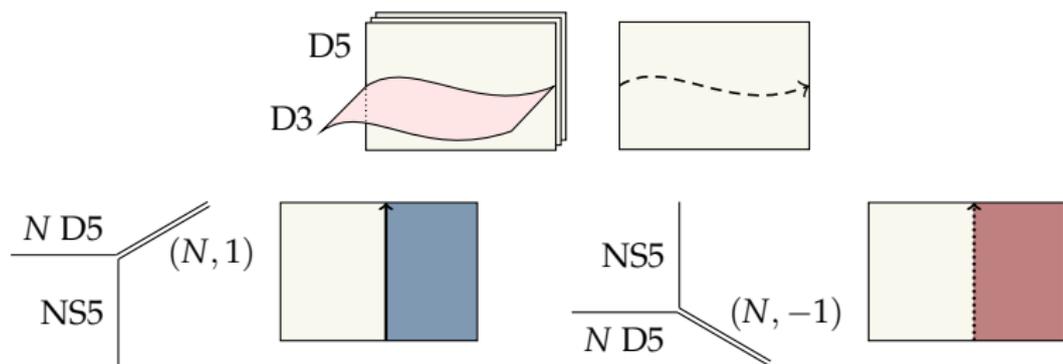
$$R^F L_1^F L_2^F = L_2^F L_1^F R^F .$$

L^F defines an ∞ -dimensional representation of Felder's **elliptic quantum group** $E_{\tau, \gamma/2}(\mathfrak{sl}_N)$.

L^B gives a vertex-type elliptic algebra. Sklyanin algebra for $N = 2$.

BRANE CONSTRUCTION [YAMAZAKI '13, MARUYOSHI-Y '16, Y '17]

Our model can be constructed from **branes** in String Theory:



We have

Z of the brane system = Z of our model .

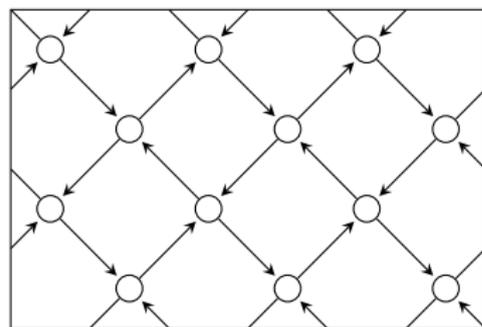
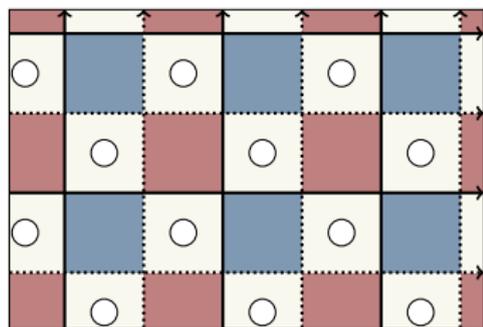
YBEs become brane movements.

A 2d TQFT with “extra dimensions” underlies the correspondence [Costello '13, Y '15, '16].

CORRESPONDENCE WITH 4D SUSY QFTs

The branes allow us to map our model to **4d SUSY QFT**.

BS model \leftrightarrow **quiver gauge theory** [Spiridonov '10, Yamazaki '13]:



Now \circ is an $SU(N)$ gauge group, \rightarrow is a matter field.

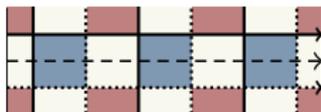
Z of this theory on $S^3 \times_{p,q} S^1 = Z_{\text{BS}}$.

YBE = invariance of Z under **Seiberg duality** (quiver mutation).

Introduce an operator supported on a $T^2 \subset S^3 \times S^1$.

It acts on Z by a difference operator [Gadde–Gukov, Gaiotto–Rastelli–Razamat, Gaiotto–Razamat,...].

In the lattice model, it appears as a dashed lines [Maruyoshi–Y, Y '17]:



Recalling $L^B = \begin{array}{|c|} \hline \uparrow \\ \hline \text{---} \rightarrow \\ \hline \end{array}$ and $L^F = \begin{array}{|c|} \hline \uparrow \\ \hline \text{---} \rightarrow \\ \hline \end{array}$, we can write it as

$$\text{Tr}(L^B \dots L^B) = \text{Tr}(L^F \dots L^F).$$

This is a **transfer matrix** constructed from L^B or L^F .

Two choices for T^2 compatible with symmetries related by $p \leftrightarrow q$ lead to the **elliptic modular double** [Faddeev, Spiridonov].

By **fusion** (OPE of surface ops), we can construct a dashed line associated with any irrep R of \mathfrak{sl}_N :

$$R \text{ -----} \rightarrow$$

$\text{Tr}(\underbrace{L_R^{\text{F}} \cdots L_R^{\text{F}}}_k)$ is a surface op for class- \mathcal{S}_k theories [Gaiotto–Razamat].

For $R = \bigwedge^n V$ and $k = 1$, we get **Ruijsenaars' ops** [Hasegawa '95].
The proof uses the theta function identity

$$\begin{aligned} & \sum_{\sigma \in \mathcal{S}_n} \text{sgn}(\sigma) \prod_{r=1}^n \left[\Phi \left(v + (r-1)\gamma, \lambda - \gamma \sum_{s=1}^{r-1} \omega_{i_s} \right)^{-1} \Phi \left(u + (r-1)\gamma, \lambda - \gamma \sum_{s=1}^{r-1} \omega_{i_{\sigma(s)}} \right) \right]_{i_{\sigma(r)}}^{i_r} \\ &= \frac{\theta_1(v + (u-v)n/N)}{\theta_1(v)} \prod_{\substack{i \in I \\ k \notin I}} \frac{\theta_1(\lambda_{ki} + (u-v)/N)}{\theta_1(\lambda_{ki})}; \quad I = \{i_1, \dots, i_n\} \subset \{1, \dots, N\}. \end{aligned}$$

Reproduces the QFT result [Bullimore–Fluder–Hollands–Richmond '14].

The transfer matrices match QFT results [Maruyoshi–Y, Y '17] for

- ▶ $R = V, k = 1$ [Gaiotto–Rastelli–Razamat, Gadde–Gukov]
- ▶ $R = V, k > 1$ [Gaiotto–Razamat, Maruyoshi–Y, Ito–Yoshida]
- ▶ $R = \bigwedge^n V, n > 1, k = 1$ [Bullimore et al.]

Comparison in progress [Vaško–Y]:

- ▶ $R = S^n V, n > 1, k = 1$ [Gaiotto–Rastelli–Razamat, Gadde–Gukov]
- ▶ $R = S^n V, n > 1, k > 1$: partial results [Ito–Yoshida]

Need the symmetric analogue of Hasegawa's formula.

Other cases: no QFT results yet.

CONCLUSION

String Theory allows us to construct integrable lattice models and relate them to 4d SUSY QFTs.

Further directions:

- ▶ Change $S^3 \times S^1$ to $M_3 \times S^1$ to get new R-matrices:
 $M_3 = S^3/\mathbb{Z}_r$ [Yamazaki, Kels], $S^2 \times S^1$, $\Sigma \times S^1$, ...
- ▶ Dimensional reduction [Y '15, Yamazaki–Wen, Gahramanov–Spiridonov, Gahramanov–Rosengren, Gahramanov–Kels]
- ▶ Relation to the works of Costello & Nekrasov–Shatashvili [Costello–Y, in progress]
- ▶ Zamolodchikov's tetrahedron equation [Y '15]
- ▶ Chiral Potts model and monopoles [Atiyah '91]
- ▶ Geometric Langlands and AGT correspondences
- ▶ Categorification of lattice models
- ▶ Little String Theory, AdS/CFT correspondence, ...